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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/532,356

04/20/2005

Daniel Linehan

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BURNS & LEVINSON LLP
125 Summer Street
Boston, MA 02110-1624

EXAMINER

WARD, JOHN A

ART UNIT

PAPER NUMBER

2885

MAIL DATE

DELIVERY MODE

07/15/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/532,356	Applicant(s) LINEHAN ET AL.	
	Examiner John A. Ward	Art Unit 2885	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 April 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-33 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-33 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Objections

Claims 4, 6, 12, 13, 17, 18, 21, 23, 27-29, 31 and 33 are objected to because of the following informalities: Each claim begins with a capital letter and ends with a period. The word "Claim" begins with a capital letter. The appropriate correction is approved.

Claims 3 and 20 are objected to because of the following informalities: the term FR4 is a trademark. The appropriate correction was approved.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-12 and 28-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yoganadan et al in view of Shimizu et al (US 6,949,772).

Regarding claims 1-12 and 28-33, Yoganandan et al disclose a light source having a light source 230, a cavity 220, a substrate 210, insulated body, a flame resistant material (FR4) substrate (column 1, lines 39-55), a pad 270 below the substrate made of copper (column 3, line 66), a plurality of conductors for drive circuit 240, 242 and a plurality of layers 250, 252.

Regarding claims 18-21, Yoganandan et al discloses a light source having a heat sink (column 3, line 66-67 and column 4, lines 1-12), a circuit board material made of a flame resistant material (FR4) material and figure 17 shows a plural conductors 224 act as a reflective surface.

Regarding claims 1 and 18, Yoganandan et al does not disclose illuminator having an array of cavities and plurality of light sources.

Regarding claims 1 and 18, Shimizu et al discloses a LED illumination apparatus and card-type illumination source 53 having a substrate body 21 of insulating material, an electrically conductive plating 3aa and array of cavities having a shape for desired reflection.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the illuminator of Yoganandan et al with the LED apparatus of Shimizu et al in order to provide a means of provide an LED illuminator including a thermal conducting member that provides a means of keeping the light emitting device providing a means of directing heat from the light emitting diode.

Claims 13-17 and 22-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yoganandan et al ('771) in view of Shimizu et al ('772) as applied to claims 7 and 21 above, and further in view of Hochstein (US 6,045,240).

Yoganandan et al in view of Shimizu et al disclose all the limitations of the claimed invention as cited above, but does not disclose the thermally conductive structure having a global thermally conductive layer and plural conductors extend underneath the light sources.

Regarding claims 13-17 and 22-23, Hochstein ('240) discloses a LED lamp assembly with means to conduct heat away from the LED's having a plural of light emitting diodes 28, heat sink 36, a cavity 54 and column 6, lines 6-34 teaches of a global thermally conducting layer underneath a cavity of the LED.

Therefore it would have been obvious to one having ordinary skill in the art to combine the light source of Yoganandan et al in view of Shimizu et al having a LED sources and heat sink with the LED lamp of Hochstein with conducting plating in order to provide a means of providing a providing heat conduction to a LED as taught by Hochstein (abstract and column 3, lines 58-67 and column 4, lines 1-6).

Response to Arguments

Applicant's arguments with respect to claims 1-33 have been considered but are moot in view of the new ground(s) of rejection.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John A. Ward whose telephone number is 571-272-2386. The examiner can normally be reached on Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jong (James) Lee can be reached on 571-272-7044. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

JAW
July 10, 2008

/John A. Ward/
Primary Examiner, Art Unit 2885